

PTO/SB/30 (04-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## Request For Continued Examination (RCE) **Transmittal**

Address to: MS RCE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 22511

PATENT TRADEMARK OFFICE

Application Number	10/037,153-Conf. #5605				
Filing Date	December 21, 2001				
First Named Inventor	Chui-Shan Teresa Lam				
Art Unit	3621				
Examiner Name	C. L. Hewitt				
Attorney Docket Number	09469/010001				

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application.

<ol> <li>Submission required under 37 CFR 1.114         Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).</li> </ol>								
a. Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.								
i. Consider the arguments in the Appeal Brief or Reply Brief previously filed on								
ii. Other								
b. x Enclosed								
i. X Amendment/Reply iii. Information Disclosure Statement (IDS)								
ii. Affidavit(s)/Declaration(s) iv. X Other Applicant Initiated Interview Request Form								
2. Miscellaneous								
a. Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a								
period of months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)								
b. Other								
3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.								
a. The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments to Deposit Account No.  I have enclosed a duplicate copy of this sheet.								
i. X RCE fee required under 37 CFR 1.17(e)								
ii. Extension of time fee (37 CFR 1.136 and 1.17)								
iii. Other								
b. Check in the amount of \$ enclosed								
c. X Payment by credit card (Form PTO-2038 enclosed)								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED								
Signature Date August 10, 2005								
Name (Print/Type) Robert P. Lord Registration No. 46,479								

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV710214720US
in an envelope addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown
below.

Dated: August 10, 2005

Signature: Orienda C. Mc Falle (Brenda C. McFadden)



PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL			Complete if Known								
			Application Number 1		10/037,153-Conf. #5605						
			Filing Date		December 21, 2001						
			· · · · · · · · · · · · · · · · · · ·		Chui-Shan Teresa Lam						
For FY 2005			Examiner Name		C. L. Hewitt	C. L. Hewitt					
Applicant claims small entity status. See 37 CFR 1.27			Art Unit 3		3621						
TOTAL AMOUNT OF PAYMENT (\$) 790.00			Attorney Docket	No.	09469/010001						
METHOD OF PAYMENT (check all that apply)											
Check X Credit Card Money Order None Other (please identify):											
x Deposit Account Deposit Account Number: 50-0591 Deposit Account Name: Osha Liang LLP											
For the above-ider					d to: (che	ck all that apply)					
	indicated be	•		Ċ		dicated below, ex	cept for t	he filing fee			
Charge any a	additional fee(	s) or underpay	ment of	x Credit	any overp	ayments					
FEE CALCULATION	37 CFR 1.16	anu 1.17									
1. BASIC FILING, SEARC	H, AND EXA	VINATION FEE	S	·							
,		G FEES		ARCH FEES	EXAMIN	NATION FEES					
Application Type	Fee (t)	Small Entity	Fee (\$	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Food	Paid (\$)			
Utility	Fee (\$) 300	Fee (\$) 150	500	250	200	<u>Fee (\$)</u> 100	1 662 [	लाल रका			
Design	200	100	100	50	130	65					
Plant	200	100	300	150	160	80					
Reissue	300	150	500	250	600	300					
Reissue Provisional	200	100	300 0	250	000	0					
2. EXCESS CLAIM FEES	200	100	U	U	U	U		Small Entity			
Fee Description							Fee (\$)	Fee (\$)			
Each claim over 20 (include	ding Reissues	)					50	25			
Each independent claim of	_		•				200	100			
Multiple dependent claims		,					360	180			
Total Claims Extra	Claims I	Fee (\$)	Fee F	Pald (\$)	ıld (\$) <u>Multiple Depende</u>						
33 - 35 =	x _	= _			Fe	e (\$) <u>F</u>	ee Paid (	<u>s)</u>			
		0=0		4.4	٠						
		Fee (\$)	Fee I	Pald (\$)							
5 -5=	<u> </u>	= _									
3. APPLICATION SIZE FE If the specification and d	_	ed 100 shasts -	fnance	(excluding alast-	onicalle A	iled seguence	computer				
listings under 37 CFR								0			
sheets or fraction ther											
	Extra Sheets			dditional 50 or frac	tion there	of Fee (\$)	Fee_	Paid (\$)			
- 100 =		/50		(round up to a who	le number)	x=	=				
4. OTHER FEE(S)							Fees	Paid (\$)			
Non-English Specification, \$130 fee (no small entity discount)											
Other (e.g., late filing surcharge): 1801 Request for continued examination (RCE) (see 37 790.00											
SUBMITTED BY	101	$\cap$									
Signature	X KT	<u> </u>		Registration No. (Attorney/Agent)	46,479	Telephone	(713) 22	28-8600			
Name (Print/Type) Robert P. Lord						Date	ate August 10, 2005				
<del></del>											

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Dated: August 10, 2005

Signature: Brenda C. McFadden)

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Docket No.: 09469/010001

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Chui-Shan Teresa Lam et al.

Conf. No.: 5605

Application No.: 10/037,153

Art Unit: 3621

Filed: December 21, 2001

Examiner: C. L. Hewitt

For: METHOD AND SYSTEM FOR INITIALIZING

A KEY MANAGEMENT SYSTEM

## **SUBMISSION UNDER 37 CFR §1.114**

MS RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Final Office Action dated May 17, 2005, please reconsider this application in view of the following.